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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 10/086,283             |          |
|  | Filing Date          | February 28, 2002      |          |
|  | First Named Inventor | Barbara Paldus         |          |
|  | Group Art Unit       |                        |          |
|  | Examiner Name        |                        |          |
| Total Number of Pages in This Submission   | 5                    | Attorney Docket Number | 6033-012 |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br>Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br><i>(for an Application)</i><br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69)<br>and Accompanying Petition<br><br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to<br>Group<br><br><input type="checkbox"/> Appeal Communication to Board of<br>Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group<br><i>(Appeal Notice, Brief, Reply Brief)</i><br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Other Enclosure(s)<br><i>Notice Of Corresponding Provisional Appl.</i><br><br>- <b>Information Disclosure<br/>Statement – 2 pgs.</b><br>- <b>PTO Form 1449 – 2 pgs.</b><br>- <b>Cited References (15)</b> |
| Remarks   |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                         |
|--|-------------------------|
| Firm and Individual name                   | John F. Schipper        |
| Signature                                  | <i>John F. Schipper</i> |
| Date                                       | 17 May 2002             |

| CERTIFICATE OF MAILING  |                  |      |              |
|---|------------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: May 17, 2002 |                  |      |              |
| Typed or printed name   | John F. Schipper |      |              |
| Signature   |                  | Date | May 17, 2002 |

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SF 1156853 v1



Attorney Docket No.: 6033-012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4  
D Scott  
7-9-02

Applicant: Barbara PALDUS, et al.

U.S. Serial No: 10/086,283

Examiner: Not yet assigned

Filed: February 28, 2002

Group Art Unit: Unknown

For: LASER TUNING BY SPECTRALLY DEPENDENT SPATIAL FILTERING

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INFORMATION DISCLOSURE STATEMENT  
FILED UNDER 37 C.F.R. 1.97, 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The references cited on attached form PTO-1449 Statement are called to the attention of the Examiner. A copy of each reference is enclosed.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this Statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

The Applicant's representative believes that no fee is required for submission of this Statement, because: (1) the items cited in the Statement were not cited in a communication from a foreign patent office in a counterpart foreign application; and (2) to the knowledge of the Applicant's representative, after reasonable inquiry, the Statement and copies of the enclosed references are being submitted within three months after any individual designated in 37 C.F.R. 1.56 (c) learned of these references.

Applicant believes that no fee is required for submission of this Statement, because it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to charge such fee to Deposit Account No. 50-1467. Please charge any addition fee or credit any overpayment to the above-noted Deposit Account.

Respectfully submitted,

Date: 17 May 2002

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